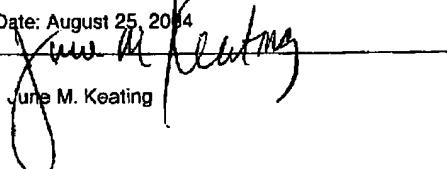


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I hereby certify that this correspondence is being transmitted to Group Art Unit 3729, 703-872-9318, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: August 25, 2004

  
June M. Keating

AUG 25 2004

PATENT  
36856.541

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michio KADOTA et al.	Art Unit: 3729
Serial No.: 09/940,988	Examiner: P. Kim
Filed: August 28, 2001	
Title: METHOD FOR ADJUSTING A FREQUENCY CHARACTERISTIC OF AN EDGE REFLECTION TYPE SURFACE ACOUSTIC WAVE DEVICE	

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three (3) references cited in the enclosed Examination Report issued in a corresponding Korean Patent Application. For the Examiner's convenience, we have ordered an English translation of the Korean Examination Report and we will forward it in a Supplemental Information Disclosure Statement as soon as we receive the translation. Also for the Examiner's convenience, we have enclosed a completed Form PTO-1449. The

U.S. Serial No. 09/940,988  
August 25, 2004  
Page 2 of 2

statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: August 25, 2004



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**(703) 385-5200**

PTD/SB/08A (04-03)

Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	09/940,988
				Filing Date	August 28, 2001
				First Named Inventor	Michio KADOTA
				Art Unit	3729
				Examiner Name	P. Kim
				Attorney Docket Number	36856.541
Sheet	1	of	1		

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14.